

Global NIP Update Workshop

Article 15 in Practice: National Reporting and ERS Guidance

Lina Fortelius

Programme Officer, BRS Secretariat, UNEP

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Overview

The need for national reporting

Reporting obligation in Article 15. Why is reporting important?

Electronic Reporting System (ERS)

An overview of the system and functions.

Filling in the electronic tool

General overview of the information requested.

Dashboard

General overview of the dashboard for SC national reports.



Need for Reporting



BRS COPs
BASEL | ROTTERDAM | STOCKHOLM
CONVENTIONS

Make Visible
the **INVISIBLE**
Sound management of chemicals and wastes

28 APRIL - 09 MAY, 2025



BASEL
CONVENTION
COP-17



ROTTERDAM
CONVENTION
COP-12



STOCKHOLM
CONVENTION
COP-12

MANDATE AND FREQUENCY

SC Article 15 – Reporting:

- Each Party shall report to the Conference of the Parties on the measures it has taken to implement the provisions of this Convention and on the effectiveness of such measures in meeting the objectives of the Convention.
- Each Party shall provide to the Secretariat:
 - Statistical data on its total quantities of production, import and export of each of the chemicals listed in Annex A and Annex B or a reasonable estimate of such data; and
 - To the extent practicable, a list of the States from which it has imported each such substance and the States to which it has exported each such substance.
 - Such reporting shall be at periodic intervals and in a format to be decided by the Conference of the Parties at its **first meeting** (as per decision SC-1/22 – every four years).
- Next reporting cycle (6th) - deadline for submission on 31 August 2026.



FORMAT: ELECTRONIC REPORTING SYSTEM (ERS)

Parties can submit their reports pursuant to Article 15 through an electronic reporting system

The technology is the same that is used for the Basel Convention Electronic Reporting System.

Intro page for ERS SC National report

Part A: General information on Party

Part B Section I: Implementation plans (Article 7)

Part B Section II: Intentional production and use (Article 3)

Part B Section III: Register of specific exemptions (Article 4)

Part B Section IV-1: Unintentional production (Article 5)

Part B Section IV-2 - Unintentional production: polychlorinated dibenzo-p-dioxins and dibenzofurans (PCDD/PCDF) Section branch to section IV-1

Part B Section IV-3 - Unintentional production: Polychlorinated biphenyls (PCB) Section branch to section IV-1

Part B Section IV-4 - Unintentional production: Pentachlorobenzene Section branch to section IV-1

Part B Section IV-5 - Unintentional production: Hexachlorobenzene

<< Previous page Next page >> Save All changes Check for errors on this page Download Document Logout Page 2

Electronic Reporting System of the Stockholm Convention (Sixth reporting cycle) Test

Part A: General information

Party Info.

Country name:
Signature date:
Ratification date:

Information sourced from the database of designated contacts as at

1. Official Contact Point(s)

According to our records the designated Official Contact Points in your country are:

Organization:	Department:	Job position:	Full name:	Tel:	Fax:	Address:	e-Mail:	Web Site:

Total Records: 1
[Export to Excel](#)

2. National Focal Point

According to our records the designated National Focal Point in your country is:

Organization :	Department:	Job Position:	Full Name:	Tel:	Fax:	Address:	e-Mail:	Web site:

Total Records: 1
[Export to Excel](#)

FORMAT: ELECTRONIC REPORTING SYSTEM (ERS)

Questionnaires are pre-filled for all Parties from their most recent reports submitted

→ To ease the process of filling information.



- The Secretariat updates the questionnaire every time the COP includes a new chemical in Annexes A, B and C.
- A few chemicals also have their individual questionnaires/reporting cycles (e.g. DDT every three years).

SUPPORTING MATERIAL

There are two manuals available to help Parties use the ERS and report:

- ERS manual
- Manual for national reports under Article 15 of the Stockholm Convention

<https://www.pops.int/Countries/Reporting/Guidance/tabid/3670/Default.aspx>

Manual for National Reports under Article 15 of the Stockholm
Convention
(Fifth reporting cycle)
November 2023

User manual for the Electronic Reporting System (ERS) of
the Stockholm Convention on Persistent Organic
Pollutants (POPs)

Table of Contents

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II. How to access the ERS	2
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Why is reporting important?



WHY IS REPORTING IMPORTANT?

Several assessments under the convention are dependent on reporting data/information, and all Parties have agreed to those (convention text and decisions that followed)

→ Effectiveness evaluation

→ Progress to phase out PCBs and PFOS

- For the report, you are collecting data/information about your country and how it is evolving
 - Do it for yourselves first!
- Closely tied to the National Implementation Plan
- Reporting data allow all Parties to assess different aspects of the convention, including compliance

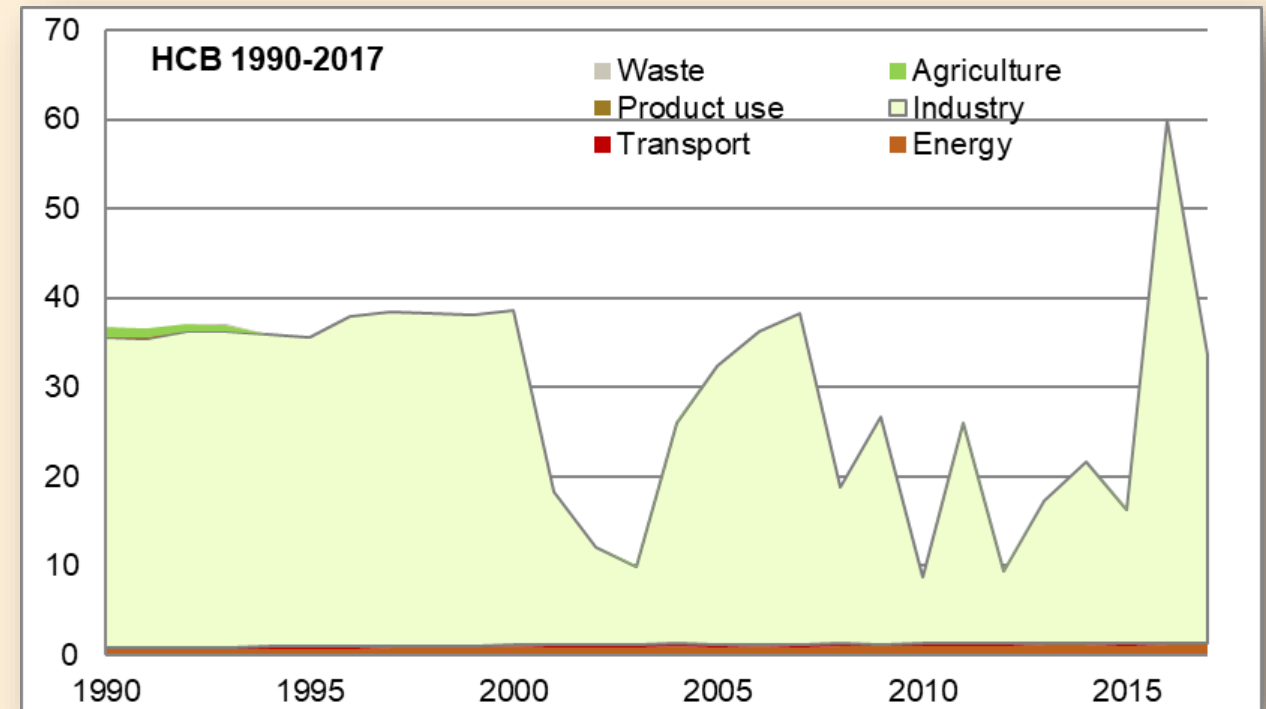




WHAT INFORMATION COULD BE NEEDED?

- New legislation or other measures to manage POPs at different stages of their lifecycle
- Updated national implementation plans or inventories
- Recent data on production, import, export, use, or disposal of POPs
- New initiatives or programmes related to various articles of the Convention, such as:

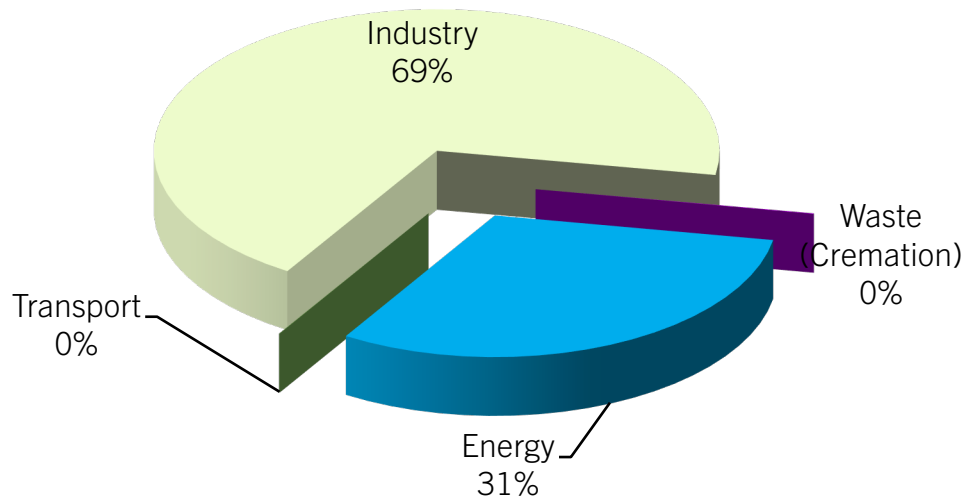
- information exchange;
- public information, awareness and education;
- research, development and monitoring;
- technical assistance;
- financial resources and mechanisms; or
- effectiveness evaluation.



QUANTITATIVE INFORMATION NEEDS

Quantitative needs:

■ Energy ■ Transport ■ Industry ■ Product use ■ Agriculture ■ Waste (Cremation)



- Source inventories and release estimates for Annex C chemicals: PCDD/PCDF, PCBs, HCB, PeCB, PCN, HCBD
- Production, use, import and export data on the chemicals in Annex A or B (start and end years, amounts, sources, destinations etc)
- PCB equipment, articles and materials in different concentration categories, PCB waste managed
- Production and use of PFOS
- Waste destroyed, imported and exported

POTENTIAL SOURCES OF INFORMATION



- National implementation plans;
- National inventories or inventories compiled as part of the development, update or implementation of national implementation plans;
- POPs inventory guidance documents (for better understanding where the chemicals may be used and quantitative evaluation);
- Annual reports from relevant ministries or agencies, including information from pollutant release and transfer registries;
- National statistics such as on the production, import, export, use of POPs;
- Surveys of industry, especially for data on production, use, storage and alternatives;
- Research reports or articles in the academic literature;
- Project reports;
- Expert interviews.



LINK TO NATIONAL IMPLEMENTATION PLAN (NIP)


NIP is an important source of information for the NFP and OCP, who fill in the report for the Party



- Information that you identify missing when filling in the report could be addressed in the NIP
 - Missing legislation/restrictions
 - Missing inventory data
 - Missing links to authorities with information...
- Reporting format could serve as a check list for the NIP
 - Does my NIP address the elements that are required in Article 15 reporting?

Electronic Reporting System (ERS) functions

Home The Convention Procedures Implementation Countries Partners



Stockholm Convention on Persistent Organic Pollutants (POPs)

You are here: Stockholm Convention > Countries > Reporting > Electronic Reporting System

Electronic Reporting System (SC-ERS)

At its second meeting, the COP requested the Secretariat to develop a system for the submission of national reports (SC-ERS). The Secretariat established the SC-ERS and it was made available for use in 2004. At its sixth meeting, in decision [SC-6/21](#), the COP decided to improve the SC-ERS, taking into account possible synergies with the Electronic Reporting System for the Transboundary Movements of Hazardous Wastes and Their Disposal, and requested the Secretariat to invite parties for the submission of their third national reports due in 2014.

Reporting

- Overview and Mandate
- Decisions
- Small Intersessional working group
- National Reports
- Electronic Reporting System**



ELECTRONIC REPORTING SYSTEM (ERS)

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Small intersessional working group

National Reports

Electronic Reporting System

Password Recovery

Reporting Dashboard

Workshops

Guidance

Electronic Reporting System (SC-ERS)

At its second meeting, the COP requested the Secretariat to develop an online electronic reporting system (SC-ERS). The Secretariat established the SC-ERS and it was made available to Parties for use during the first reporting period. At its sixth meeting, in decision [SC-6/21](#), the COP requested the Secretariat -to further improve the SC-ERS, taking into account possible synergies with the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal, and in time for it to be used by parties for the submission of their third national reports due in 2014.

In response to the request from the COP, the Secretariat has updated and enhanced the SC-ERS in order to accommodate the updated format for the national report as adopted by the Conference of the Parties (COP) at its sixth meeting. In addition, the SC-ERS has been improved in order to make it more user-friendly and resourceful.

The updated SC-ERS is available and may be used by parties since 2014. In 2021 the SC-ERS was updated with the changes required in the questionnaire for the **5th reporting cycle**, with a **deadline on 31 August 2022**. Please [click here](#) to access the SC-ERS, **5th reporting cycle questionnaire**.

Parties are encouraged to use the SC-ERS to submit their reports as it offers a simpler and more user-friendly system and facilitates the collation and analysis of data by the Secretariat.

Title	English	French	Spanish
▶ User manual for the Electronic Reporting System (ERS) of the Stockholm Convention on Persistent Organic Pollutants (POPs)			
▶ Manual for national reports under Article 15 of the Stockholm Convention			



ACCESSING ERS

Login

Password

☐ Remember me next time.

If you have forgotten your account details to log into the Basel Convention Electronic Reporting System, use the [account recovery functionality](#).

If you have forgotten your account details to log into the Stockholm Convention Electronic Reporting System (third cycle and above), use the [account recovery functionality](#).

Please select your language:

English ▼

- English
- Arabic
- Chinese / 中文 (简体)
- Français / French
- Russian
- Spanish

- Two user accounts have been provided by the Secretariat to the OCP
- Select your language from the list

INFORMATION FROM PREVIOUS REPORT PRE-FILLED

- The reporting format has been pre-filled with the information entered in the previous reporting rounds
- It is possible to update/change the information entered in the previous rounds
 - Revised inventory data (e.g. updated emission factors may give an opportunity to recalculate emissions reported earlier)
 - Mistakes

Chemicals listed in Annex A to the Convention			
Chemicals	Legal/administrative measure (Please select all that apply.)	Year	Remarks
Aldrin	<input checked="" type="checkbox"/> Restriction in accordance with Annex A.	2002	Regulation (EU) 2019/1021 of the European Parliament and of the Council of 20 June 2019 on persistent organic pollutants (recast)
	<input checked="" type="checkbox"/> Prohibition on production.	2002	Regulation (EU) 2019/1021 of the European Parliament and of the Council of 20 June 2019 on persistent organic pollutants (recast)
	<input checked="" type="checkbox"/> Prohibition on all uses.	Before 2001	Regulation (EU) 2019/1021 of the European Parliament and of the Council of 20 June 2019 on persistent organic pollutants (recast)
	<input checked="" type="checkbox"/> Prohibition on import.	2004	Regulation (EU) 2019/1021 of the European Parliament and of the Council of 20 June 2019 on persistent organic pollutants (recast)
	<input checked="" type="checkbox"/> Prohibition on export.	2002	Regulation (EU) 649/2012 concerning the export and import of hazardous chemicals



OVERALL INTERFACE

Intro page for ERS SC National report

Part A: General information on Party

Part B Section I: Implementation plans (Article 7)

Part B Section II: Intentional production and use (Article 3)

Part B Section III: Register of specific exemptions (Article 4)

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Part B Section IV-2 - Unintentional production: polychlorinated dibenzo-p-dioxins and dibenzofurans (PCDD/PCDF) Section branch to section IV-1

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Part B Section IV-4 - Unintentional production: Pentachlorobenzene. Section branch to section IV-1

Part B Section IV-5 - Unintentional production: Hexachlorobenzene.

<< Previous page Next page >> Save All changes Check for errors on this page [Download Document](#) [Logout](#) Page 1

Electronic Reporting System of the Stockholm Convention (Sixth reporting cycle) Test

Part A: General information

Party Info.

Country name: Finland
Signature date: 23/05/2001
Ratification date: 03/09/2002

Information sourced from the database of designated contacts as at

1. Official Contact Point(s)

According to our records the designated Official Contact Points in your country are:

Organization:	Department:	Job position:	Full name:	Tel:	Fax:	Address:	e-Mail:	Web Site:

Total Records: 1
[Export to Excel](#)

2. National Focal Point

According to our records the designated National Focal Point in your country is:

Organization :	Department:	Job Position:	Full Name:	Tel:	Fax:	Address:	e-Mail:	Web site:

Total
[Export to Excel](#)

Top tool bar

- Save changes
- Check errors
- Move

Main working area

Side tool bar

- Navigation to the contents

LINKS AND REFERENCES



Link to the
Convention
text

Section IV. Article 5: Measures to reduce or eliminate releases from unintentional production

9. Has your country developed, reviewed and updated an action plan designed to identify, characterize and address the release of the chemicals listed in [Annex C](#), in accordance with [paragraph \(a\) of Article 5](#) of Convention?

- ☒ Yes
☐ Currently being developed.
☐ No
☐ Other : (Please specify)

9.1 Please select the year(s) in which the action plan was developed, reviewed and/or updated

Development of the action plan:

2006 ▼

Review and updating of the action plan:

☐ Before
2001 ☐ 2001 ☐ 2002 ☐ 2003 ☐ 2004 ☐ 2005 ☐ 2006 ☐ 2007 ☐ 2008

10. Has your country encountered difficulties in the implementation of the action plan?

☒ Yes

Article 5 of the Convention



Measures to reduce or eliminate releases from unintentional production

Each Party shall at a minimum take the following measures to reduce the total releases derived from anthropogenic sources of each of the chemicals listed in Annex C, with the goal of their continuing minimization and, where feasible, ultimate elimination:

(a) Develop an action plan or, where appropriate, a regional or subregional action plan within two years of the date of entry into force of this Convention for it, and subsequently implement it as part of its implementation plan specified in Article 7, designed to identify, characterize and address the release of the chemicals listed in Annex C and to facilitate implementation of subparagraphs (b) to (e). The action plan shall include the following elements:

Pop up features
for articles



DYNAMIC QUESTIONNAIRE

12. Has your country developed source inventories and release estimates of the chemicals listed in Annex C to the Convention taking into consideration the source categories identified in Annex C, in accordance with paragraph (a) (i) of Article 5 of the Convention?

- ☒ Yes
- ☐ Currently being developed
- ☐ No

Multiple choices

Please provide in the subsequent pages details on the inventories developed in your country. In doing so, please use the following definitions:

Baseline year: The first year for which activity data have been collected as part of your country inventory for a chemical, or year 2001 if activity data exists for years before 2001.

NOTE: The baseline source inventory and release estimate is the first inventory of sources and releases of chemicals listed in Annex C to the Convention developed by a party, usually as part of the national implementation plan developed under Article 7 of the Convention. This first inventory serves as a baseline against which subsequent updated release estimates are assessed in order to establish trends in releases over time and evaluate the efficacy of the adopted strategies for minimizing or eliminating releases of polychlorinated dibenzo-p-dioxins and dibenzofurans (PCDD/PCDF) and other unintentional POPs.

Reference Year: Is the year in which the unintentionally release occurred and for which the activity data have been collected.

12.1 Has your country developed an inventory of polychlorinated dibenzo-p-dioxins and polychlorinated dibenzofurans (PCDD/PCDF)?

- ☒ Yes
- ☐ No

12.1.1 – Please provide the information sources used for the inventory of Polychlorinated dibenzo-p-dioxins and dibenzofurans (PCDD/PCDF)

Instructions

For each reference year please provide the details on the information source used to collect or generate the data as follows:

- i) Press the "Add new" button (an editing dialogue box will pop-up).
- ii) Select the reference year.
- iii) Select the information sources used for the selected reference year. Please note that UNEP-Toolkit 2013 refers to the revised Toolkit including changes in emission factors (Reference: UNEP/POPS/COP.6/13 and UNEP/POPS/COP.6/INF/10).

Additional questions opening depending on your answer



ERROR CHECK

- You can check the error on the tables by pressing "Check for errors"
- This is to avoid basic mistakes at data entry
 - Will not prevent submission or moving around in the form

<< Previous page Next page >> Save All changes **Check for errors on this page** [download answers](#) Page

This Page have incomplete or invalid answers. Please scroll down to see the details per questions. **Error found**

Part C: Information on progress in eliminating polychlorinated biphenyls (PCB) in accordance with subparagraph (g) of Part II of Annex A to the Convention

Section I. Article 6: Measures to reduce or eliminate releases from stockpiles and wastes

1. Has your country developed strategies for identifying stockpiles consisting of or containing greater than 0.005% (50 ppm) PCB, in accordance with [paragraph 1 \(a\) \(i\) of Article 6](#) of the Convention?

☒ Yes
☐ Currently being developed.
☐ No

Please select an entry for Years: **Error found**

1.1 Please select the year(s) in which the strategies were developed.

Years:
☐ Before 2009 ☐ 2009 ☐ 2010 ☐ 2011 ☐ 2012 ☐ 2013 ☐ 2014

Filling in the questionnaire

Intro page for ERS SC
National report

Part A: General information on Party

Part B Section I: Implementation plans (Article 7)

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Part B Section IV-4 - Unintentional production: Pentachlorobenzene. Section branch to section IV-1

Part B Section IV-5 - Unintentional production: Hexachlorobenzene.

Electronic Reporting System of the Stockholm Convention (Sixth reporting cycle) Test

Part A: General information

Party Info.

Country name:
Signature date:
Ratification date:

Information sourced from the database of designated contacts as at

1. Official Contact Point(s)

According to our records the designated Official Contact Points in your country are:

Organization:	Department:	Job position:	Full name:	Tel:	Fax:	Address:

Total Records: 1
[Export to Excel](#)

2. National Focal Point

According to our records the designated National Focal Point in your country is:

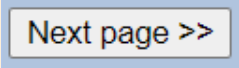



Organization :	Department:	Job Position:	Full Name:	Tel:	Fax:	Address:

Total Records: 1
[Export to Excel](#)



INTRO PAGE

Intro page contains information on the current update of the reporting format

- Also has links to different sections of the report
 - You can go straight to any section or press  and the system saves your changes and opens the next page
- You can download the report
 - as a Word document at any point by pressing  [Download Country questionnaire](#)
 - as an empty Word document by pressing  [Download empty questionnaire](#)
- You can export data from individual tables to Excel by pressing  [Export to Excel](#) link (for e.g. sending the data for revision to your colleagues)

Electronic Reporting System (ERS) functions

<https://ers.basel.int/ERS-Extended/>



Home The Convention Procedures Implementation Countries Partners

 Stockholm Convention on Persistent Organic Pollutants (POPs)

You are here: Stockholm Convention > Countries > Reporting > Electronic Reporting System

Electronic Reporting System (SC-ERS)

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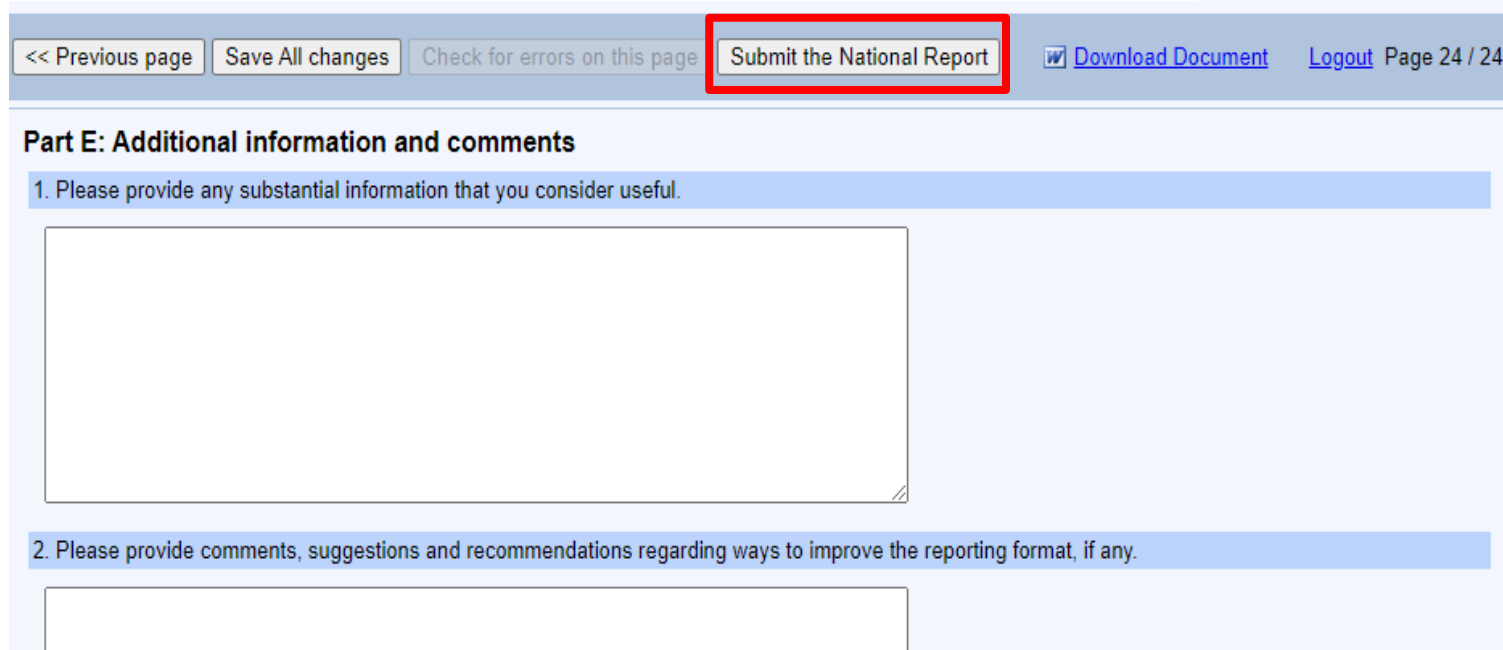
Reporting

- Overview and Mandate
- Decisions
- Small Intersessional working group
- National Reports
- Electronic Reporting System**

SUBMISSION OF THE REPORT

6th cycle deadline is 31 August 2026

- Report can be submitted only by the SC Official Contact Point
- Download the document for review and sharing



The screenshot displays the user interface for submitting a national report. At the top, a navigation bar contains several buttons: '<< Previous page', 'Save All changes', 'Check for errors on this page', and 'Submit the National Report'. The 'Submit the National Report' button is highlighted with a red rectangular border. To the right of these buttons are links for 'Download Document' (preceded by a checkmark icon) and 'Logout', followed by the page indicator 'Page 24 / 24'. Below the navigation bar, the section 'Part E: Additional information and comments' is visible. It contains two numbered instructions: '1. Please provide any substantial information that you consider useful.' and '2. Please provide comments, suggestions and recommendations regarding ways to improve the reporting format, if any.' Each instruction is followed by a large, empty text input area for user response.



FINAL REMARKS

- It may look like a lot of work, but much of it may have been done in the past - and pre-filled
- Try to respond to all questions – even partial answers will help and any piece of information may be crucial for understanding how your country is implementing the convention
- Use the comment fields to add relevant background information and links – it will be very useful in the next round
- Take note of information you do not have – coordination with NIP!

Reports dashboard

REPORTS DASHBOARD



UN 
environment
programme



REPORTS DASHBOARD

Stockholm Convention's Reports Dashboard can be used to visualize data from the submitted national reports

- Reports Dashboard is divided into Parts / Sections / Questions similarly to the ERS
- Choose the reporting cycle, the region(s) of the world and the countries for which you want to see the data
- Other relevant filters are also available depending on the questions and tables
- It is possible to download data as Excel tables



Select Reports

Expand All

Collapse All

Introduction

Status of Submission

Overviews

- 1- Inventories Data for Releases of Unintentionally Produced POPs...
- 2- Totals on Production, Export, Import and Disposal...
- 3- Production, Export, Import and Disposal by relevant Chemicals...
- 4- Production of Chemicals covered by the Convention...
- 5- Disposal, Export and Import of relevant Chemicals covered...
- 6- General report on information submitted by Parties...

Part B

- ▶ Section I
- ▶ Section II
- ▶ Section III
- ▶ Section IV

Direct access
to individual
questions

Introduction

This Dashboard allows users to visualize data and information submitted by Parties in their national reports pursuant to the Stockholm Convention.

The information accessible through the Dashboard is information made available by Parties in their previous national reports. The information is made available through the Official Contact Points for the Stockholm Convention. Contact details of Official Contact Points can be found [here](#).

The outline used in the left-side menu is based on the most recent reporting format used for the fifth reporting cycle.

Overviews

Summary description of the different parts of the questionnaire and their scope:

Part	Title	Questions included
Part A	General information on Party	
	Official Contact Point(s) and National Focal Point(s)	Question 1 to 2
Part B	Information on the measures taken by the Party to implement the provisions of the Stockholm Convention and on the effectiveness of such measures in meeting the objectives of the Convention.	
Section I	Implementation plans (Article 7)	Questions 1 to 4
Section II	Intentional production and use (Article 3)	Questions 5 to 7
Section III	Register of specific exemptions (Article 4)	Question 8
Section IV-1	Unintentional production (Article 5)	Questions 9 to 12
Section IV-2	Unintentional production: Polychlorinated dibenzo-p-dioxins and dibenzofurans (PCDD/PCDF)	Question 12.1

REPORTING OVERVIEW EXAMPLE

- Dashboard contains easily accessible overviews on responses to main obligations of the Convention
- You can select the topic, regions or even countries to look at
- Also choose the output you like

SC: Totals on Production, Export, Import and Disposal for all relevant chemicals covered under the convention

Select Reporting Cycle: Fifth reporting cycle (2019-2022) ▼

Filter by Regions: World view ▼

Filter by Countries:

Select Countries (Up to 10 country)

Select View: Table, Map and Chart ▼

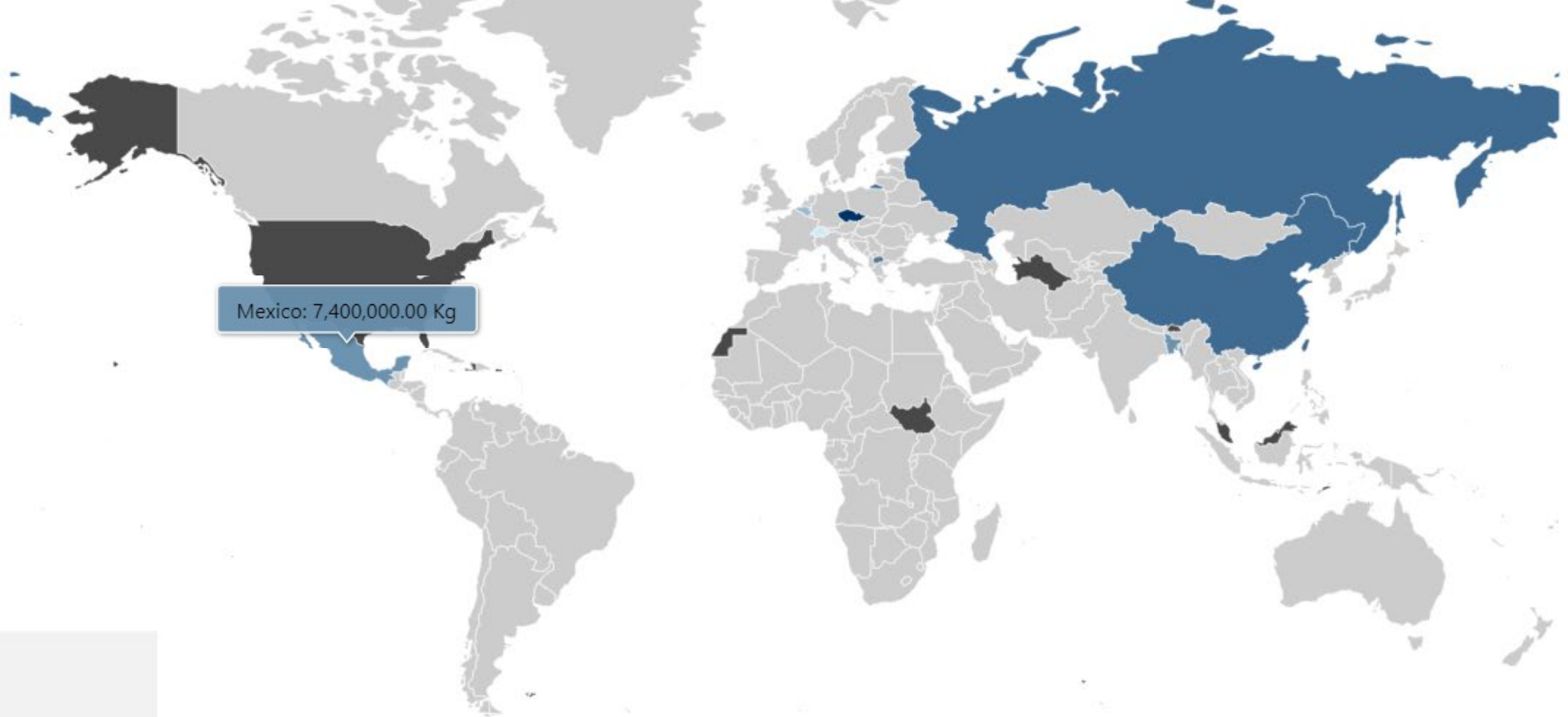
Search

Selected Reporting Cycle: Fifth reporting cycle (2019-2022)

MAP ON COUNTRIES THAT REPORTED POPs PRODUCTION



Totals on Production for all relevant chemicals covered under the convention



- Non-party
- No Information Submitted



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Thank you for your attention!